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UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA
 SAN JOSE DIVISION

UNITED STATES OF AMERICA and the
 STATE OF CALIFORNIA, by and through the
 CALIFORNIA DEPARTMENT OF FISH AND
 GAME and CALIFORNIA STATE LANDS
 COMMISSION,

Plaintiffs,

v.

County of Santa Clara, Santa Clara Valley Water
 District, City of San Jose, Midpeninsula Regional
 Open Space District, Myers Industries, Inc.,
 Buckhorn, Inc., Guadalupe Rubbish Disposal
 Company, Inc., Sunoco, Inc., and Newson, Inc.,

Defendants.

AND RELATED COUNTER, CROSS, AND
THIRD PARTY ACTIONS.

United States et al. v.
County of Santa Clara, et al., No. CV

Consent Decree with Newson, Inc.

FILED

JUL 16 2005

RICHARD W. WIEKING
CLERK, U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

RECEIVED

JUL 28 2005

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CLERK, U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

PVT

IC 05 3073
NO. CV

CONSENT DECREE WITH
DEFENDANT NEWSON, INC.

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8 California State Lands Commission

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1 This Consent Decree ("Decree") is made and entered into by and among the United States
2 of America ("the United States"), on behalf of itself and the Department of the Interior ("DOI"),
3 and the State of California ("State"), by and through the California Department of Fish and Game
4 ("CDFG") and the California State Lands Commission ("SLC"), as trustees for State Natural
5 Resources (collectively, the "Plaintiffs"), and defendant Newson, Inc ("Newson").

6 I. INTRODUCTION

7 A. The United States, on behalf of DOI in its capacity as natural resource trustee, and
8 the CDFG and SLC in their capacities as natural resource trustees for Natural Resources of the
9 State of California (collectively, the "Trustees"), concurrently with the filing of this Consent
10 Decree, have filed Complaints ("Complaints") in this action under Section 107 of the
11 Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended
12 ("CERCLA"), 42 U.S.C. § 9607, and various State laws, seeking, *inter alia*, recovery of damages,
13 including damage assessment costs, for injury to, destruction of, and loss of natural resources
14 resulting from releases into the environment of inorganic and organic mercury and hazardous
15 substances contained in mining waste (hereafter, collectively, "Hazardous Substances").

16 B. The Complaints allege that the Defendants (i) are or were owners or operators of
17 facilities in the Guadalupe River Watershed, as defined herein, or (ii) are or were persons who
18 arranged for the disposal of Hazardous Substances at or from facilities in the Guadalupe River
19 Watershed, or (iii) are persons who are successors to or otherwise legally responsible for the acts
20 and omissions of persons who were owners or operators of facilities in the Guadalupe River
21 Watershed or who arranged for disposal of Hazardous Substances at facilities in the Guadalupe
22 River Watershed, from which Plaintiffs allege there have been releases of Hazardous Substances
23 into the environment.

24 C. In February 2000, DOI issued a Preassessment Screen Determination ("PSD")
25 regarding injuries to natural resources arising from historical and continuing releases of mercury,
26 and of other metals resulting from or associated with historic mining within the Guadalupe River
27 Watershed. In that PSD, DOI determined that sufficient information existed for it to pursue a
28 claim for Natural Resource Damages for such releases, including damages for injury to soil,

1 surface water, and sediment in the Guadalupe River Watershed, as well as for injuries to
2 biological resources using those resources, including vegetation, invertebrates, amphibians, fish
3 species, and piscivorous birds. DOI alleges that it took these actions pursuant to CERCLA,
4 DOI's Natural Resource Damages regulations, 43 C.F.R. Part 11 (1998), and Executive
5 Order 12580, as amended by Executive Order 13016.

6 **D.** The Trustees and certain defendants in this action have previously entered into a
7 Consent Decree ("Work CD") pursuant to which those defendants will variously perform or
8 contribute to projects to address all known significant mining waste deposits remaining within
9 and about the Almaden Quicksilver County Park and to restore or rehabilitate the injured
10 resources that are the subject of the Complaints. Additional projects to be undertaken pursuant to
11 the Work CD constitute the replacement or acquisition of equivalent resources providing the same
12 or substantially equivalent services as those that had been provided by the injured natural
13 resources (consistent with 43 C.F.R. § 11.82).

14 **E.** CERCLA and its implementing regulations require that the Trustees seek input
15 from the public before implementing a restoration plan to address injured natural resources.
16 Consequently, the Parties acknowledge that entry of the Work CD after lodging will be deferred
17 to allow the time necessary for the Trustees to obtain public comment on the Work CD and on a
18 draft restoration plan that proposes the projects described in Section VI of the Work CD and is
19 attached thereto as Exhibit B.

20 **F.** The Trustees have undertaken a restoration planning process to determine the
21 restoration projects that will most effectively restore or compensate for the lost use of the injured
22 resources. The details for specific projects are contained in the draft restoration plan at Sections
23 4.3.1.1 through 4.3.2.3 and are summarized in Section VI of the Work CD. A final restoration
24 plan will be adopted by the Trustees after final approval of the Work CD by the Court, after
25 provision of notice, opportunity for public input, and consideration of public comments on the
26 Work CD and attached draft restoration plan.

27 **G.** Newson shall contribute, pursuant to this Consent Decree, towards damage
28 assessment and oversight costs incurred and to be incurred by the Trustees in assessing the nature

1 and extent of natural resource injuries and in overseeing the projects to be performed pursuant to
2 the Work CD.

3 **H.** This settlement is made in good faith after arm's-length negotiations. The Parties
4 agree, and the Court by entering this Consent Decree finds, that this Consent Decree has been
5 negotiated by the Parties in good faith, that settlement of this matter and entry of this Decree will
6 avoid complicated and potentially costly litigation between the Parties, is the most appropriate
7 means to resolve the matters covered herein, and is fair, reasonable, consistent with the purposes
8 of CERCLA, and in the public interest.

9 NOW, THEREFORE, with the consent of the Parties to this Decree, it is hereby
10 ORDERED, ADJUDGED AND DECREED:

11 **II. JURISDICTION AND VENUE**

12 1. The Plaintiffs have alleged that this Court has jurisdiction over the subject matter
13 of this action pursuant to 28 U.S.C. §§ 1331, 1345, and 1367; Sections 107 and 113(b) of
14 CERCLA, 42 U.S.C. §§ 9607, 9613(b); California Fish and Game Code sections 2104, 5650, and
15 5650.1; and the common law of nuisance; that the Court has personal jurisdiction over Newson;
16 and that venue lies in this District pursuant to 28 U.S.C. § 1391(b), (c) and 1395(a) and Section
17 113(b) of CERCLA. For purposes of this Consent Decree, only, Newson waives all objections
18 and defenses that it may have to jurisdiction of the Court or to venue in this District.

19 **III. APPLICABILITY OF DECREE**

20 2. The obligations of this Consent Decree apply to and are binding upon the Plaintiffs
21 and their departments, agencies and instrumentalities, and upon Newson and its respective
22 successors and assigns.

23 **IV. DEFINITIONS**

24 3. This Decree incorporates the definitions set forth in Section 101 of CERCLA, 42
25 U.S.C. § 9601, and in Section 11.14 of the Natural Resource Damages ("NRD") regulations, 43
26 CFR § 11.14. In addition, whenever the following terms are used in this Decree, they shall have
27 the following meanings:

28 A. "Damage Assessment Costs" shall mean all costs associated with the planning,

1 design, implementation, and oversight of the Trustees' damage assessment process, which
2 addresses the extent and quantification of the injury to, destruction of, or loss of Natural
3 Resources and the services provided by these resources resulting from the alleged releases of
4 Hazardous Substances, and with the planning of restoration or replacement of such Natural
5 Resources and the services provided by those resources, or the planning of the acquisition of
6 equivalent resources or services, and any other costs necessary to carry out the Trustees'
7 responsibilities with respect to those Natural Resources injuries resulting directly or indirectly
8 from the alleged releases of Hazardous Substances, including all related enforcement costs.

9 B. "Date of Entry of this Decree" shall mean the date on which the District Court has
10 approved and entered this Decree as a judgment.

11 C. "Date of Final Approval of this Decree" shall mean (1) the Date of Entry of this
12 Decree, or (2) if an appeal is taken after entry, the date on which the District Court's judgment is
13 affirmed and there is no further right to appellate review.

14 D. "Date of Lodging of this Decree" shall mean the date that this Decree is lodged
15 with the Court, subject to the public comment period referred to in Section XV of this Decree.

16 E. "Guadalupe River Watershed" for purposes of this Decree shall mean (i) the
17 Guadalupe River and all its tributary streams, including without limitation Alamitos Creek,
18 Guadalupe Creek, and Arroyo Calero, and the associated tributaries, reservoirs, impoundments,
19 banks and sediments of each of the foregoing; (ii) all areas that drain water or sediment into the
20 waters described in (i); and (iii) that area of San Francisco Bay south of the Dumbarton Bridge.

21 F. "Interest" shall mean interest at the rate specified for interest on investments of the
22 EPA Hazardous Substance Superfund established by 26 U.S.C. § 9507, compounded annually on
23 October 1 of each year, in accordance with 42 U.S.C. § 9607(a). The applicable rate of interest
24 shall be the rate in effect at the time the interest accrues. The rate of interest is subject to change
25 on October 1 of each year.

26 G. "Natural Resource Damages" shall mean all damages, including loss of use,
27 restoration costs, resource replacement costs, or equivalent resource values, Damage Assessment
28 Costs, and any other costs or losses that have been incurred in the past or will be incurred in the

1 future by the United States, the State of California, or any other person pursuant to Trustee
2 approval, authorization, or direction, with respect to injury to, destruction of, or loss of any and
3 all natural resources resulting either directly or indirectly from the releases of Hazardous
4 Substances in the Guadalupe River Watershed, including any continuing releases.

5 H. "Natural Resources" shall have that meaning set forth in Section 101(16) of
6 CERCLA, 42 U.S.C. § 9601(16).

7 I. "Paragraph" shall mean a portion of this Decree identified by an arabic numeral.

8 J. "Parties" shall mean the United States; the State of California, by and through the
9 CDFG and SLC; and Newson.

10 K. "Plaintiffs" shall mean the United States, on behalf of DOI, and the State of
11 California, on behalf of the CDFG and SLC.

12 L. "Section" shall mean a portion of this Decree identified by a roman numeral.

13 M. "State of California" shall mean the CDFG and SLC.

14 N. "United States" shall mean the United States of America, including its
15 departments, agencies, and instrumentalities.

16 V. PAYMENTS

17 4. Newson shall pay a total of \$475,000 pursuant to the terms specified in this
18 Section.

19 5. Newson shall pay, within ten (10) business days of the Date of Entry of this
20 Consent Decree, the amount of \$100,000 to DOI by electronic fund transfer ("EFT") in
21 accordance with instructions to be provided by DOI to Newson following lodging of the Decree.
22 Such funds are to be utilized jointly by DOI and DFG for the restoration, rehabilitation,
23 replacement, or acquisition of the equivalent of the injured natural resources, in particular for
24 their costs of restoration planning and oversight of the implementation of those projects to be
25 performed pursuant to the Work CD. At the time of payment, Newson shall send written notice
26 of payment and a copy of any transmittal documentation (which should reference DOJ case
27 number 90-11-2-07048) to the Plaintiffs in accordance with Section XVI of this Decree and to:

28 Charles McKinley, Esq.

1 Office of the Solicitor
2 U.S. Department of the Interior
3 1111 Jackson Street, Suite 735
4 Oakland, California 94607

and

5 Bruce Nessler
6 DOI Restoration Fund Manager
7 1849 "C" Street, N.W.
8 Mail Stop 4449
9 Washington, D.C. 20240

10 6. Within ten (10) business days of the Date of Entry of this Consent Decree, Newson
11 shall make a further payment of \$310,600 to DOI by electronic fund transfer ("EFT") to the U.S.
12 Department of Justice in accordance with instructions to be provided to Newson following
13 lodging of the Decree by the Financial Litigation Unit of the U.S. Attorney's Office for the
14 Northern District of California. At the time of payment, Newson shall send written notice of
15 payment and a copy of any transmittal documentation in accordance with the preceding
16 Paragraph.

17 7. The EFTs and transmittal letters required by the preceding Paragraphs shall reflect
18 that the payment is being made to the "Natural Resources Damage Assessment and Restoration
19 Fund, Account No. 14X5198." DOI will assign those funds a special project number to allow the
20 funds to be maintained as a segregated account (the "Guadalupe River Watershed NRD
21 Account") within the DOI Natural Resource Damage Assessment and Restoration Fund.

22 8. Within ten (10) business days of the Date of Entry of this Consent Decree, Newson
23 shall make a further payment of \$64,400 to CDFG by certified or cashier's check payable to the
24 Department of Fish and Game and delivered to

25 John A. Holland
26 Office of Spill Prevention and Response
27 Department of Fish and Game
28 P.O. Box 160362
Sacramento, California 95816-0362

Or, if by courier or overnight mail, to

John A. Holland
Office of Spill Prevention and Response
Department of Fish and Game

1700 K Street, Suite 250
Sacramento, California 95814

3 **VI. COVENANT NOT TO SUE BY PLAINTIFFS**

4 9. Except as specifically provided in Paragraph 12 of this Decree, the United States
5 and the State of California, by and through the CDFG and SLC as trustees for the State of
6 California's Natural Resources, covenant not to sue or to take administrative action against
7 Defendant Newson, as well as the following entities: E.A. Viner International, Inc., n/k/a E.A.
8 Viner Holdings Co.; W.H. Newbold's Son & Co.; Hopper Soliday, Inc.; Fahnestock & Co., Inc.,
9 n/k/a Oppenheimer & Co., Inc.; Viner Finance, Inc.; and Fahnestock Viner Holdings, Inc., n/k/a
10 Oppenheimer Holdings, Inc. (collectively, for purposes of Sections VI, VII, VIII, IX, and IX of
11 this Decree, the "Settling Parties"), for costs incurred or to be incurred by the Trustees in
12 assessing natural resource damages in the Guadalupe River Watershed or in overseeing the
13 projects to be performed under the Work CD, under CERCLA, 42 U.S.C. § 9607, the Federal
14 Water Pollution Control Act ("Clean Water Act"), 33 U.S.C. § 1321(f), or other federal, State or
15 common law. These covenants take effect upon payment of the amount required in Section V.

16 10. The United States covenants not to sue or to take administrative action against
17 CDFG, SLC, or the California Department of Transportation ("CalTrans") for costs incurred or to
18 be incurred by the Trustees in assessing natural resource damages in the Guadalupe River
19 Watershed or in overseeing the projects to be performed under the Work CD, under CERCLA, 42
20 U.S.C. § 9607, the Clean Water Act, 33 U.S.C. § 1321(f), or other federal law. These covenants
21 take effect upon the Entry of this Decree.

22 11. The State of California, by and through the CDFG and SLC as trustees for the
23 State of California's Natural Resources, and CalTrans covenant not to sue or to take
24 administrative action against the United States for costs incurred or to be incurred by the Trustees
25 in assessing natural resource damages in the Guadalupe River Watershed or in overseeing the
26 projects to be performed under the Work CD, under CERCLA, 42 U.S.C. § 9607, the Clean
27 Water Act, 33 U.S.C. § 1321(f), or other federal, State or common law. These covenants take
28 effect upon the Entry of this Decree.

**VII. RESERVATION OF RIGHTS
FOR NATURAL RESOURCE DAMAGES**

1
2
3 12. Notwithstanding any other provision of this Decree, the United States and the State
4 of California reserve the right to institute proceedings against the Settling Parties in this action or
5 in a new action seeking recovery of Natural Resource Damages (1) based on injury to, destruction
6 of, or loss of Natural Resources resulting from conditions that were unknown to the Trustees as of
7 the Date of Lodging of this Decree ("Unknown Conditions"), or (2) based on information
8 received by the Trustees after the Date of Lodging of this Decree that indicates that there is
9 injury to, destruction of, or loss of Natural Resources of a type unknown to the Trustees as of the
10 Date of Lodging of this Decree ("New Information").

11 13. Notwithstanding any other provision of this Decree, the covenants not to sue in
12 Paragraph 9 shall apply only to matters addressed in that Paragraph and specifically shall not
13 apply to the following claims:

- 14 a. claims based on a failure by Newson to satisfy any requirement imposed
15 upon it by this Decree;
- 16 b. claims for criminal liability; and
- 17 c. claims arising from the past, present or future disposal, release, or threat of
18 release of hazardous substances not addressed in this Decree.

19 14. For purposes of Paragraph 12, "Unknown Conditions" or "New Information" shall
20 not include or pertain to (i) a change only in Plaintiffs' quantification of Natural Resource
21 Damages arising out of the past and/or continuing releases of Hazardous Substances alleged by
22 Plaintiffs in this action; and/or (ii) damages based on releases of hazardous substances other than
23 Hazardous Substances as defined herein, unless Plaintiffs can demonstrate that such releases
24 resulted in an injury different in type than those alleged in this action.

25 15. No information shall be deemed "new," and no condition shall be deemed
26 "unknown," if the information or condition is contained or identified in, or could be reasonably
27 determined from, documents and data in the possession of CDFG, DTSC, the California Regional
28 Water Quality Control Board for the San Francisco Bay Region, DOI, or Region IX of the U.S.

1 Environmental Protection Agency, on or before the Date of Entry of this Decree.

2 **VIII. COVENANTS BY SETTLING PARTIES**

3 16. Subject to Paragraph 17, the Settling Parties hereby covenant not to sue or to assert
4 any administrative claims or causes of action against the United States or against the State of
5 California with respect to the payments required by this Decree, including, but not limited to:

6 a. any direct or indirect Settling Defendant Claim for reimbursement from the
7 Hazardous Substance Superfund (established pursuant to the Internal Revenue Code, 26 U.S.C. §
8 9507) through CERCLA Sections 106(b)(2), 107, 111, 112, 113 or any other provision of law;

9 b. any claims against the United States or the State of California, including
10 any department, agency or instrumentality of the United States or the State of California, under
11 CERCLA Sections 107 or 113;

12 c. any claims against the Guadalupe River Watershed NRD Account; or

13 d. any claims under the United States Constitution, the California
14 Constitution, the Tucker Act, 28 U.S.C. § 1491, the Equal Access to Justice Act, 28 U.S.C. §
15 2412, as amended, or at common law.

16 17. The Settling Parties reserve their right to contest any claims alleged to be reserved
17 by Section VII of this Decree, and the Settling Parties do not by consenting to this Decree waive
18 any defenses to such claims, except that the Settling Parties covenant not to assert, and may not
19 maintain, any defense based upon principles of waiver, res judicata, collateral estoppel, issue
20 preclusion, claim-splitting, or other defense based upon the contention that the claims that are
21 allowed by Section VII of this Decree were or should have been brought in the instant case. In
22 the event that either the United States or the State of California brings any claim not settled by
23 this Decree, or pursuant to Section VII of this Decree, the Settling Parties reserve the right to
24 assert all potential counterclaims, cross-claims, or third-party claims against the United States or
25 the State of California arising from such claim. Nothing in this Decree shall be deemed to
26 constitute preauthorization of a claim within the meaning of Section 111 of CERCLA, 42 U.S.C.
27 § 9611.

IX. EFFECT OF SETTLEMENT/CONTRIBUTION PROTECTION

18. The Settling Parties do not admit any of Plaintiffs' allegations or claims set forth herein and deny any liability for Plaintiffs' claims against Newson set forth in the Complaints.

19. Nothing in this Consent Decree shall be construed to create any rights in, or grant any cause of action to, any person not a signatory to this Consent Decree. The preceding sentence shall not be construed to waive or nullify any rights that any person not a signatory to this Decree may have under applicable law. Each of the Parties, including the Settling Parties, expressly reserves any and all rights (including, but not limited to, any right to contribution), defenses, claims, demands, and causes of action that it may have against any person not a signatory hereto.

20. The Parties agree, and the Court hereby finds, adjudges and decrees, that (1) the Parties have fully negotiated the terms of this Consent Decree at arm's length with the assistance and advice of competent, independent counsel; (2) the consideration exchanged and commitments made herein are reasonable in the context of the rights and responsibilities of the Parties and their potential liabilities; (3) public notice (including a properly noticed public comment period) of the opportunity for submitting comments on the terms and conditions of this settlement has been provided; and (4) the settlement reflected herein is made in good faith and is neither fraudulent nor collusive, nor affected by any fraud or collusion. Accordingly, the Parties agree, and the Court hereby finds, orders, adjudges, and decrees, that this Consent Decree represents a fair, adequate, reasonable, equitable, and good-faith settlement, and that therefore the Settling Parties are entitled to contribution protection provided by CERCLA Section 113(f)(2), 42 U.S.C. § 9613(f)(2), or otherwise provided by State or common law, for matters addressed by this Consent Decree. "Matters addressed" in this Consent Decree include only costs incurred or to be incurred by the Trustees in assessing natural resource damages in the Guadalupe River Watershed or in overseeing the projects to be performed under the Work CD, under CERCLA, 42 U.S.C. § 9607, the Federal Water Pollution Control Act ("Clean Water Act"), 33 U.S.C. § 1321(f), or other federal, State or common law, but do not include the projects to be performed under the Work CD. The "Matters Addressed" in this Consent Decree do not include those claims as to which any signatory has reserved its rights under this Consent Decree (except for claims for failure to

1 comply with this Decree), in the event that any signatory asserts rights against another coming
2 within the scope of such reservations.

3 21. The Settling Parties agree that, with respect to any suit or claim for contribution
4 brought by them for matters related to this Consent Decree, they will notify the United States and
5 the State of California in writing no later than sixty (60) days prior to the initiation of such suit or
6 claim, unless the giving of such advance notice would subject such suit or claim to a defense that
7 it is barred by the statute of limitations or other time-related defense.

8 22. The Settling Parties also agree that, with respect to any suit or claim for
9 contribution brought against them for matters related to this Consent Decree, they will notify in
10 writing the United States and the State of California within ten (10) days of service of the
11 complaint on them. In addition, Settling Parties shall notify the United States and the State of
12 California within ten (10) days of service or receipt of any Motion for Summary Judgment with
13 respect to such a claim, and within ten (10) days of receipt of any order from a court setting such
14 a case for trial.

15 **X. PENALTIES FOR LATE PAYMENTS**

16
17 23. If any payment required by Section V is not made by the date specified in that
18 Section, Newson shall be liable for the following amounts for each day such payment is late:

19

<u>Days Late</u>	<u>Penalty</u>
1-14	\$ 2000/day
15-60	\$ 3000/day
Beyond 60 Days	\$ 4000/day

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24 24. Payments due under the preceding Paragraph shall be disbursed to the Plaintiff to
25 which late payment is owing. Stipulated penalties are due within thirty (30) days following
26 receipt by Newson of a written demand by Plaintiffs for payment of such stipulated penalties.
27 Stipulated penalties owing to the United States shall, as directed by the United States, be paid by
28 certified or cashier's check in the amount due payable to the "U.S. Department of Justice,"

1 referencing DOJ No. 90-11-2-07048, and shall be delivered to the Office of the United States
2 Attorney, Northern District of California, Financial Litigation Unit, 450 Golden Gate Avenue,
3 Box 36055, San Francisco, California 94102. Notice of such payment shall be sent to the
4 Plaintiffs as provided in Section XVI of this Decree.

5 25. Stipulated penalties owing to the State of California shall be payable to the
6 Department of Fish and Game and delivered to

7 John A. Holland
8 Office of Spill Prevention and Response
9 Department of Fish and Game
10 P.O. Box 160362
11 Sacramento, California 95816-0362

12 Or, if by courier or overnight mail, to

13 John A. Holland
14 Office of Spill Prevention and Response
15 Department of Fish and Game
16 1700 K Street, Suite 250
17 Sacramento, California 95814

18 26. In addition to the stipulated penalties provided in this Section, if any payment
19 required by Section V of this Decree is not made by the date specified in that Section, Newson
20 shall be liable for Interest on the amount due.

21 27. In addition to stipulated penalties and Interest as provided in this Section, if the
22 payment required by Section V of this Decree or the stipulated penalties provided for by this
23 Section are not made, Newson shall be liable for any costs and attorneys fees incurred by
24 Plaintiffs in collecting any amounts owing.

25 28. Payments due under this Section shall be in addition to any other remedies or
26 sanctions that may be available to the Plaintiffs on account of Newson's failure to comply with
27 the terms of this Decree.

28 **XI. CERTIFICATION CONCERNING RECORDS**

29 29. Newson hereby certifies that, to the best of its knowledge and belief, after
30 thorough inquiry, Newson has not altered, mutilated, discarded, destroyed, or otherwise disposed
31 of any records, documents, or other information (other than identical copies) relating to Newson's
32 potential liability regarding Natural Resource Damages with respect to the Guadalupe River

1 Watershed since notification of potential liability by the Plaintiffs and that Newson has fully
2 complied with any and all of Plaintiffs' prior requests for information with respect to this site,
3 pursuant to Section 104(e) of CERCLA, 42 U.S.C. § 9604(e), or other applicable federal or state
4 laws or regulations.

5 **XII. SIGNATURE**

6 30. The undersigned representative of the Settling Parties certifies that he or she is
7 fully authorized to enter into the terms and conditions of this Decree, to legally execute this
8 Decree, and to bind the parties he or she represents to this Decree.

9 31. This Consent Decree may be signed in counterparts, and such counterpart
10 signature pages shall be given full force and effect.

11 **XIII. ENTIRE AGREEMENT**

12 32. This Consent Decree constitutes the final, complete, and exclusive agreement and
13 understanding between the Trustees and Newson with respect to the settlement embodied in the
14 Decree and supersedes all prior agreements and understandings, whether oral or written. No
15 other document, nor any representation, inducement, agreement, understanding, or promise,
16 constitutes any part of this Decree or the settlement it represents, nor shall it be used in construing
17 the terms of this Decree.

18 **XIV. TERMINATION**

19 33. This Consent Decree shall terminate as to Newson upon payment of the amount
20 required by Section V of this Decree, together with any outstanding stipulated penalties under
21 Section X of this Decree, except that the provisions and effect of Sections VI through IX of this
22 Consent Decree shall survive termination of the Decree.

23 **XV. PUBLIC COMMENT**

24 34. The Parties acknowledge that this Consent Decree will be subject to a public
25 comment period of not less than thirty (30) days. Consequently, entry of the Decree after lodging
26 shall be deferred to allow the time necessary for the United States and the State to obtain and
27 evaluate public comment on this Decree. The United States and the State of California reserve
28 the right to withdraw their consent to this Decree if comments received disclose facts or

1 considerations that show that this Decree is inappropriate, improper, or inadequate. Newson
2 consents to the entry of this Decree by the Court without further notice. Newson further agrees
3 not to oppose entry of this Consent Decree by the Court or to challenge any provision of the
4 Decree, unless either the United States or CDFG has notified Newson in writing that it no longer
5 supports entry of the Decree.

6 35. In the event that there is no Date of Final Approval of this Decree, this Decree and
7 the settlement embodied herein is voidable at the discretion of any Party, and the terms hereof
8 may not be used as evidence in any litigation or other proceeding.

9 **XVI. NOTICE**

10 36. Any notice required hereunder shall be in writing and shall be delivered by hand,
11 facsimile or overnight mail as follows:

12 **As to the United States:**

13 Chief, Environmental Enforcement Section
14 Environment and Natural Resources Division
15 DOI Case #90-11-2-07048
16 U.S. Department of Justice
17 P.O. Box 7611
18 Washington, D.C. 20044-7611

19 and

20 David B. Glazer
21 United States Department of Justice
22 301 Howard Street, Suite 1050
23 San Francisco, California 94105
24 Tel.: (415) 744-6477
25 Fax: (415) 744-6476

26 and

27 Charles McKinley, Esq.
28 Office of the Solicitor
U.S. Department of the Interior
1111 Jackson Street, Suite 735
Oakland, California 94607
Tel: (510) 817-1461
Fax: (510) 419-0143

As to State of California:

John A. Holland

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Office of Spill Prevention and Response
Department of Fish and Game
P.O. Box 160362
Sacramento, California 95816-0362
Tel: (916) 445-3153
Fax: (916) 324-5662

Or, if by courier or overnight mail, to

John A. Holland
Office of Spill Prevention and Response
Department of Fish and Game
1700 K Street, Suite 250
Sacramento, California 95814

As to Settling Parties:

**Lawrence R. Liebesman
Holland & Knight LLP
2099 Pennsylvania Avenue, N.W.
Suite 100
Washington, D.C. 20006**

37. Each Party to this Decree may change the person(s) it has designated to receive notice for that Party, or the addresses for such notice, by filing a written notice of such change with the Court and serving said notice on each of the other Parties to this Decree.

38. Newson hereby agrees to accept service of process by mail with respect to all matters arising under or relating to this Consent Decree and to waive the formal service requirements set forth in Rule 4 of the Federal Rules of Civil Procedure and any applicable Local Rules of this Court including, but not limited to, service of a summons.

XVII. JUDGMENT

39. Upon approval and entry of this Consent Decree by the Court, this Consent Decree shall constitute a final judgment between the Plaintiffs and Newson. The Court finds that there is

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no just reason for delay and therefore enters this judgment as a final judgment under Fed. R. Civ. P. 54 and 58.

IT IS SO ORDERED

DATED: 11/16/05



~~DISTRICT~~ ^{MAGISTRATE} JUDGE
UNITED STATES DISTRICT JUDGE
NORTHERN DISTRICT OF CALIFORNIA

1 FOR THE UNITED STATES OF AMERICA:

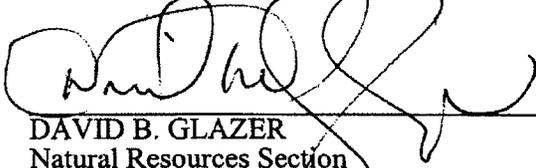
2 WE HEREBY CONSENT to the entry of the Consent Decree in United States, et al. v.
3 County of Santa Clara, et al., subject to the public notice and comment requirements of
4 Section XIX of this Consent Decree

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Dated: July 25, 2005


KELLY A. JOHNSON
Acting Assistant Attorney General
Environment and Natural Resources Division
United States Department of Justice

Dated: July 27, 2005


DAVID B. GLAZER
Natural Resources Section
Environment and Natural Resources Division
United States Department of Justice
301 Howard Street, Suite 1050
San Francisco, California 94105
Telephone: (415) 744-6491
Facsimile: (415) 744-6476

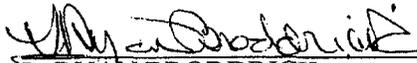
OF COUNSEL

CHARLES C. McKINLEY, ESQ.
Assistant Field Solicitor
U.S. Department of the Interior
1111 Jackson Street
Oakland, California 94607

FOR THE CALIFORNIA DEPARTMENT OF FISH AND GAME

WE HEREBY CONSENT to the entry of the Consent Decree in United States, et al. v. County of Santa Clara, et al., subject to the public notice and comment requirements of Section XIX of this Consent Decree:

Dated: June 10, 2005



L. RYAN BRODDRICK
Director
California Department of Fish and Game

BILL LOCKYER, Attorney General
of the State of California
GAVIN G. McCABE,
Deputy Attorney General

By: Gavin G. McCabe
GAVIN G. McCABE

Attorneys for Plaintiff California Department of Fish and Game

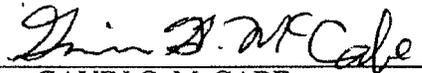
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1 FOR THE CALIFORNIA STATE LANDS COMMISSION

2 WE HEREBY CONSENT to the entry of the Consent Decree in United States, et al. v.
3 County of Santa Clara, et al., subject to the public notice and comment requirements of
4 Section XIX of this Consent Decree:

5 Dated: June 2, 2005 
6 PAUL D. THAYER
7 Executive Officer
8 State Lands Commission

9
10 BILL LOCKYER, Attorney General
11 of the State of California
12 GAVIN G. McCABE,
13 Deputy Attorney General

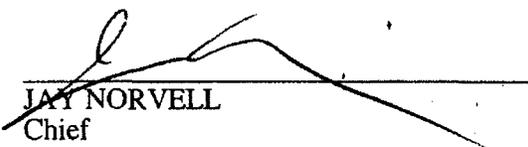
14 By: 
15 GAVIN G. McCABE

16 Attorneys for Plaintiff California State Lands Commission
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FOR THE CALIFORNIA DEPARTMENT OF TRANSPORTATION

WE HEREBY CONSENT to the entry of the Consent Decree in United States, et al. v. County of Santa Clara, et al., subject to the public notice and comment requirements of Section XIX of this Consent Decree:

Dated: June 1, 2005 
JAY NORVELL
Chief
Division of Environmental Analysis

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FOR DEFENDANT NEWSON, INC., AND FOR E.A. VINER INTERNATIONAL, INC.,
N/K/A/ E.A. VINER HOLDINGS CO.; W.H. NEWBOLD'S SON & CO.; HOPPER SOLIDAY,
INC.; FAHNESTOCK & CO., INC., N/K/A/ OPPENHEIMER & CO., INC.; VINER FINANCE,
INC.; AND FAHNESTOCK VINER HOLDINGS, INC., N/K/A/ OPPENHEIMER HOLDINGS,
INC.:

WE HEREBY CONSENT to the entry of the Consent Decree in United Stated, et al. v.
County of Santa Clara, et al.:

Dated: May 31, 2005, 2005 x R Teuchoff
NAME
Title: Corporate Secretary
Address: 125 Broad Street
New York, NY 10004

Agent Authorized to Accept Service on Behalf of Above-Signed Party:

Agent Authorized to Accept Service on Behalf of Above-Signed Party:
Name (print): Lawrence R. Liebesman Esq.
Title: Holland & Knight LLP
Address: 2099 Pennsylvania Ave., NW, Suite 100
Washington, DC 20006
Ph. Number: 202-663-7258
Fax Number: 202-955-5564

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